In re Appl. of Baker et al.
Application No. 10/804,531
Response to Office Action of November 30, 2007

Listing of Claims

This listing of claims will replace all prior versions and listings of claims in the application.

Claim 1 (Original). A computer system for supporting a derivatives clearinghouse, the clearinghouse having a plurality of participants, including a first participant and a second participant, the computer system comprising:

a web server that performs steps comprising:

receiving a request for a web page from a remote computer;

providing a graphical user interface to the remote computer in response to the request;

receiving, through the graphical user interface, a request for a list of previously accepted derivatives trades in which the first participant or a customer of the first participant was a party;

providing the list to the remote computer via the graphical user interface, wherein the list includes a previously executed trade that was carried out by the first and second participants or their respective customers and was accepted by the clearinghouse;

receiving, from the remote computer, an input indicating that the previously executed trade was improperly accepted; and

a database server communicatively linked with the web server, wherein the database server performs steps comprising:

maintaining a database of derivative trades that have been accepted by the clearinghouse;

retrieving, from the database, data representing the list of accepted trades for which the first participant is responsible;

providing the data to the web server for use in creating the list; and

creating an entry in the database that represents an offsetting transaction that has the effect of negating the acceptance of the previously executed trade.

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Claim 2 (Original). The system of claim 1,

      wherein the remote computer is a first remote computer and the graphical user interface is a first graphical user interface,

      wherein the web server performs further steps comprising:

            presenting a second graphical user interface to a second remote computer; and

            querying the second participant, via the second graphical user interface, regarding whether the clearinghouse's acceptance of the previously executed trade should be negated;

      wherein the database server performs the creating step only if the second participant indicates that the clearinghouse's acceptance of the previously executed trade should be negated.

Claim 3 (Original). The system of claim 1, wherein the database server performs further steps comprising:

      receiving a structured query language search command; and

      searching the database in accordance with the structured query language search command,

      wherein the retrieving step is performed as result of the searching step.

Claim 4 (Original). The system of claim 1,

      wherein the web server receives user-entered data regarding the previously executed trade;

      wherein the user-entered data is incorrect;

      wherein, subsequent to web server receiving the user-entered data, the clearinghouse accepts the previously executed trade;

      wherein the clearinghouse stores data concerning the previously execute trade in the database; and

      wherein the data includes an indication that the previously executed trade has been accepted by the clearinghouse.

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Claim 5 (Original). The system of claim 1, wherein the web server receives user-entered data regarding the previously executed trade, wherein the user-entered data is incorrect, and wherein the clearinghouse performs steps comprising:

accepting the previously executed trade subsequent to web server receiving the user-entered data;

storing the user-entered data in the database;

indicating in the database that the previously executed trade has been accepted; the web server performing further steps comprising:

presenting a graphical user interface to a remote computer of the second participant; and

querying the second participant, via the graphical user interface, regarding whether the acceptance of the previously executed trade between the first and second

participants should be negated; the database server performing further steps comprising:

receiving a structured query language search command; and

searching the database in accordance with the structured query language search command,

wherein the database server performs the step of retrieving data associated with the previously executed trade as result of the searching step, and

wherein the database server performs the creating step only if the second participant indicates that the acceptance of the trade should be negated.

Claim 6 (Original). The method of claim 1, wherein the web server displays, via the graphical user interface, an alert message relating to post-trading activity.

Claim 7 (Original). The method of claim 1, wherein the web server displays, via the graphical user interface, an alert message indicating that all post-trading activity needs to stop.

Claims 8-23 (Canceled).